SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	Filed pursuant to Si or Section 3
1 Name and Address of Reporting Perso	n* 2. Issuer Na

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Section 16(a) of the Securities Exchange Act of 1934 30(h) of the Investment Company Act of 1940

1. Name and Addre			ker or Trading FIONS IN	Symbol [C [SYPR]		tionship of Reportir all applicable) Director	0 ()	Issuer Owner			
(Last) 101 BULLITT	(First) LN., STE. 450	(Middle)		3. Date of Ea 08/04/2021		saction (Month	n/Day/Year)	X	Officer (give title below) President	Other below t and CEO	(specify)
(Street) LOUISVILLE (City)	KY (State)	40222 (Zip)		4. If Amendm	ent, Date	of Original File	d (Month/Day/Year)	6. Indiv Line) X	ridual or Joint/Grou Form filed by On Form filed by Mo Person	e Reporting Pe	rson
	Tal	ble I - No	on-Derivat	ive Securi	ties Ac	quired, Dis	posed of, or Benef	icially	Owned		
1. Title of Security (Instr. 3) 2. Transacti Date (Month/Day				Year) Executi Year) if any	med on Date, Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)	4 and	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership

		(Month/Day/Year)	8)		· ·			Owned Following	(l) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	08/04/2021		S		3,111 ⁽¹⁾	D	\$3.03 ⁽²⁾	2,962,514	D	
Common Stock	08/05/2021		S		1,589(1)	D	\$3.05 ⁽²⁾	2,960,925	D	
Common Stock								23,975	I	By Spouse
Common Stock								1,918,108.923	Ι	GFP I, LP ⁽³⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

				1											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	Beneficial Ownership (Instr. 4)	
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 4, 2021.

2. The prices reported in column 4 are a weighted average price. The shares sold on August 4, 2021 were sold in multiple transactions at prices ranging from \$3.01 to \$3.06, inclusive. The shares sold on August 5, 2021 were sold in multiple transactions at prices ranging from \$3.04 to \$3.09, inclusive. The reporting person undertakes to provide Sypris Solutions, Inc., any security holder of Sypris Solutions, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote (2) to this Form 4.

3. Consists of limited partnership interests of GFP I, LP, a Delaware limited partnership. The reporting person, his spouse, and the trusts for the benefit of his three children are limited partners of GFP I, LP, and the reporting person is a director, executive officer and 50% shareholder in Gill Family Capital Management, Inc., the general partner of GFP I, LP.

Remarks:

Andrea J. Luescher by Power of Attorney on file with the

08/05/2021

Commission ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.