SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

to Section 1	box if no longer subject 6. Form 4 or Form 5 may continue. See I(b).	STA		t to Section 16(a)	Estimated average burden hours per response: 0.										
	· · /				ction 30(h) of the In						-				
1. Name and Address of Reporting Person [*] DAVIS RICHARD L					uer Name and Tick PRIS SOLUT			ationship of R k all applicabl Director Officer (giv	10% Owner						
(Last) 101 BULLI	(Last) (First) (Middle) 101 BULLITT LN., STE. 450 (Street) LOUISVILLE KY 40222					 3. Date of Earliest Transaction (Month/Day/Year) 10/01/2020 4. If Amendment, Date of Original Filed (Month/Day/Year) 							Other below President	er (specify w)	
													6. Individual or Joint/Group Filing (Check Appli Line) X Form filed by One Reporting Person		
(City)	(State)	(Zip)									Form filed by More than One Reporting Person				
	Т	able I - No	n-Derivat	tive S	ecurities Acq	uired,	Dis	posed of,	or Bei	neficially	y Owned				
Date			2. Transact Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8) Code		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Follo Reported Transaction((Instr. 3 and	owing (s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Common Stock ⁽¹⁾			10/01/	/2020		F			D	\$ 1. 0 8	29	4,380	D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code (Instr.	5. Number of Derivative	Expirati	on Date	· · · · /	7. Title an Amount o Securities	f De		9. Number derivative Securities	10. Ownership Form:	11. Nature of Indirect Beneficial	

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)				Expiration Date (Month/Day/Year)		Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

Explanation of Responses:

1. Restricted stock award under the 2015 Sypris Omnibus Plan which vested 100% on October 1, 2020.

Remarks:

Andrea J. Luescher by Power

10/02/2020 of Attorney on file with the

Date

Commission ** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.